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Attorney Docket No. 48971-023 (AWGK-001)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alan W. H. Grant Group Art Unit: 2161
Serial No. 09/766,698
Filing Date January 22, 2001
Title: CONSTITUENCY VALUE EXCHANGE OPTIMIZATION METHOD

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below.

Date: April 13, 2001

Paul L. Speidel
Paul L. Speidel

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Assistant Commissioner for Patents
Washington, DC 20231

APR 19 2001

Dear Sir:

Technology Center 2100

TRANSMITTAL LETTER

Applicant encloses herewith for filing in connection with the above-referenced patent application the following documents:

- 1) Information Disclosure Statement;
- 2) Form PTO-A820 (also form PTO-1449);
- 3) Cited Reference 1; and
- 4) Acknowledgment Postcard.

No additional costs are believed to be due in connection with the filing of the enclosed Response. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

Scott A. Ouellette

Scott A. Ouellette
Registration Number 38,573
McDERMOTT, WILL & EMERY
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Tel (617)535-4421
Fax (617)535-3800

Date: April 13, 2001



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Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant and his attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed within three months of the filing date of the application and before the receipt of any Official Action from the Patent and Trademark Office. A full copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure.
However, please charge any necessary fees to our Deposit Account No. 501133.

Date:



Respectfully submitted,

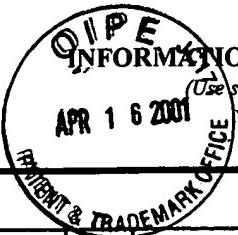


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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APR 16 2001

Docket Number (Optional)

48971-023 (AWG 01)

Application Number

09/766,698

Applicant(s)

Alan W. H. Grant

Filing Date

01/22/2001

Group Art Unit

2161

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	C-1	Grant, A. W. H. et al., "Realize Your Customers' Full Profit Potential", Harvard Business Review, p. 59-72, 09/1995

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and consider it deleted from this form without further indication according to MPEP 609.